BRIEF ON APPEAL Serial Number: 10/623,2234 Filing Date: July 17, 2004

CARD SHUFFLER WITH CARD RANK AND VALUE READING

Page 1 of 47

Docket No.: PA0863.ap.US

S/N 10/623,223

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Attila Grauzer, et al.

Examiner:

HALL, Arthur O.

Serial No.

10/623,223

Group Art Unit:

3709

Filed:

July 17, 2004

Docket No.

PA0863.ap.US

Title:

CARD SHUFFLER WITH CARD RANK AND VALUE READING

MAIL STOP: APPEAL BRIEF - PATENTS

P.O. BOX 1450

Commissioner for Patents Alexandria, VA22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to debit any costs and fees associated with this Petition to Deposit Account No. 50-1391. Appellant(s) is submitting this single copy of the Appeal Brief in Compliance with the requirements of 37 CFR 41.37(c). This Brief on Appeal is being filed in response to the issues and rejections presented by the PTO in a Final Rejection mailed September 2007. Appellant requests a personal appearance at the Board of Appeals, but will defer payment of the fee until after receipt of the Examiner's Answer.

naner, as described herein, are being deposited in	igned hereby certifies that this Transmittal Letter and the the United States Postal Service, as first class mail, with AIL STOP: APPEAL BRIEF - PATENTS, P.O. BOX 1450, 450, 2007.
Mark A. Litman	
Name	Signature

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Page 2 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234
Filing Date: July 17, 2004
Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

TABLE OF CONTENTS

1. Real party in interest	page(s)
2. Related appeals and interferences	page(s)
3. Status of claims	page(s)
4. Status of amendments	page(s)
5. Summary of claimed subject matter	page(s)
6. Grounds of rejection to be reviewed on appeal	page(s)
7. Argument	page(s)
8. Claims appendix	page(s)
9. Evidence appendix	page(s)
10. Related proceedings appendix	page(s)

BRIEF ON APPEAL Page 3 of 47

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

REAL PARTY IN INTEREST

The real party in interest in this Appeal is the assignee of the full right, title and interest in this Application, Shuffle Master, Inc., having a place of business at 1106 Palms Airport Drive, Las Vegas, Nevada 89119-3730.

Docket No.: PA0863.ap.US

BRIEF ON APPEAL Page 4 of 47

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

Docket No.: PA0863.ap.US

RELATED APPEALS AND INTERFERENCES

The Appellant(s), the legal representative prosecuting this application and Appeal, and the assignee are not aware of any Appeals or Interferences that will directly affect or have a bearing on the Board's of Patent Appeals and Interferences decision in this pending Appeal.

BRIEF ON APPEAL Page 5 of 47

Serial Number: 10/623,2234 Filing Date: July 17, 2004 Title: CARD SHUFFLE

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

STATUS OF CLAIMS

Docket No.: PA0863.ap.US

Claims 1-55, all of the claims in the application have been finally rejected.

Claims 1-55, all of the claims in the application, are on Appeal.

Page 6 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

STATUS OF AMENDMENTS

An Amendment was filed under 37 C.F.R. 1.116 after Final Rejection in this Application on 26 November 2007, in which purely editorial correction of claim dependency was made.

Although an Advisory Action on PTOL-303 was sent, indicating that the rejections were not overcome by the response, no comment on the entrance or refusal of the editorial amendments was made on the record. Because of the editorial amendment of the claims, e.g., claims 9 and 19, it will be assumed that the amendments were entered.

All amendments to the claims previously submitted have been entered without objection.

Page 7 of 47

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004
Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

Docket No.: PA0863.ap.US

SUMMARY OF CLAIMED SUBJECT MATTER

All of the following independent claims present on Appeal are mapped below for antecedent basis in the original disclosure:

Claim 1 is an original claim, without amendment from filing

A device for forming a random set of playing cards comprising: [Page 8, line 19]
 a top surface and a bottom surface of said device; [Page 8, lines 19-20]
 a single card receiving area for receiving an initial set of playing cards; [Page 8, lines 20-21 and Figures 1 and 2]

a randomizing system for randomizing the order of an initial set of playing cards; [Page 8, lines 22-24]

a collection surface in a card collection area for receiving randomized playing cards one at a time into the card collection area, the collection surface receiving cards so that all cards are received below the top surface of the device; [Page 8, lines 24-25;

Abstract of the Disclosure

Figures 1 and 2]

an image capture device that reads the rank and suit of each card before being received on the card collection surface; [Page 9, lines 7-11]

an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and [Page 8, lines 26-28] a moveable cover over the elevator. [Page 30, lines 14-19]

Claim 23 is an original claim, without amendment from filing

23. A device for forming a random set of playing cards comprising: [Page 8, line 19] a top surface and a bottom surface of said device; [Page 8, lines 19-20] a receiving area for an initial set of playing cards; [Page 8, lines 20-21 and

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

a randomizing system for randomizing initial set of playing cards; [Page 8, lines 22-24]

a collection surface in a card collection area for receiving randomized playing cards; [Page 8, lines 24-25]

an elevator for raising the collection surface within the card collection area; [Page 8, lines 26-28]

at least one card supporting element within the card collection area that will support a predetermined number of cards within the card collection area; and [Page 9, lines 20-22]

an image capture system that can read at least the rank of each at least one card before it is inserted into a set of cards at a position below the predetermined number of cards. [Page 9, lines 7-11]

Claim 30 is an original claim, without amendment from filing

30. A device for forming a random set of playing cards comprising: [Page 8, line 19] a top surface and a bottom surface of said device; [Page 8, line 19-20] a single card receiving area for receiving an initial set of playing cards; [Page 8, lines 20-21 and Figures 1 and 2]

a randomizing system for randomizing the order of an initial set of playing cards; [Page 8, lines 22-24]

a collection surface in a card collection area for receiving randomized playing cards one at a time into the card collection area, the collection surface receiving cards so that all cards are received below the top surface of the device; [Page 8, lines 24-25;

Abstract of the Disclosure]

an image capture device that reads the rank and suit of each card after it has begun leaving the single card receiving area and before being received on the card collection surface; [Page 9, lines 7-11]

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and [Page 8, lines 26-28] a moveable cover over the elevator. [Page 30, lines 14-19]

Claim 31 was amended during prosecution, without objection

31. An automatic card shuffling device comprising: [Page 8, line 19]

a microprocessor with memory for controlling the operation of the device; [Page 10, lines 15-20]

an in-feed compartment for receiving cards to be randomized; [Page 10, lines 17-20]

a card moving mechanism for moving cards individually from the in-feed compartment into a single card mixing compartment that receives all cards during a randomization process; [Page 10, lines 20-23]

an image capture system that can identify at least the rank of each card as it is moved towards, into or through the card mixing compartment, but before removal from the device; [Page 9, lines 7-11; original claim 31]

a card mixing compartment that identifies a position for each card in each set of cards formed in the card mixing compartment, [Page 8, lines 22-24; Page 19, lines 4-10;

a memory that records at least the rank of each card in each set of cards formed in the card mixing compartment; [Page 63, lines 17-28; original claims 29 and 31] wherein the card mixing compartment comprises a plurality of substantially vertical supports, an opening for the passage of cards from the in-feed compartment, a moveable lower support surface; at least one stationary gripping element, a gripping arm, a lower edge proximate the opening, the gripping arm capable of suspending cards above the opening; and [Page 8, line 19 – page 9, line 11; original claim 31]

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

an elevator for raising and lowering the moveable support surface. [Page 8, lines 26-28]

Claim 37 is an original claim, without amendment from filing

37. A method of randomizing a group of cards, comprising the steps of: [Page 12, lines 1-5]

placing a group of cards to be randomized into a card in-feed tray; [Page 12, lines 1-5; Page 47, lines 1-12]

removing cards individually from the card in-feed tray and delivering the cards into a card collection area, the card collection area having a moveable lower surface, and a stationary opening for receiving cards from the in-feed tray; [Page 47, lines 1-12]

elevating the moveable lower surface to a randomly determined height; [Page 47, lines 1-12]

grasping at least one edge of a group of cards in the card collection area at a point just above the stationary opening; [Page 47, lines 1-12]

lowering the moveable lower surface to create an opening in a stack of cards formed on the lower surface, the opening located just beneath a lowermost point where the cards are grasped; [Page 47, lines 1-12]

inserting a card removed from the in-feed tray into the opening; [Page 47, lines 1-12] after randomizing all cards, elevating a collection of randomized cards; and [Page 47, lines 1-12]

reading at least the rank of each card after it is individually removed from the card in-feed tray and before it has been inserted into the opening. [Page 47, lines 14-17; Page 9, lines 7-11; original claim 31]

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

Claim 39 was amended during prosecution, without objection

- 39. A method of arranging a group of cards into a desired order [Page 47, lines 14-17] in a computer controlled automatic card shuffler, [Page 46, lines 16-24] the card shuffler comprising an in-feed tray, a feed mechanism, a card arranging area, a retaining device for suspending cards in the card arranging area, a lower support surface in the card arranging area and an elevator for raising and lowering the lower support surface, the method comprising: [Page 47, lines 1-12; original claim 39]
 - a) assigning each card in the in-feed tray a final order; and [Page 39, lines 23-27]
- b) feeding each card individually into the card arranging area after at least the rank of each card has been mechanically read to form a final set of cards. [Page 20, lines 10-19]

Claim 43 was amended during prosecution, without objection

- 43. An automatic card shuffler comprising: [Page 8, line 19]
- a housing capable of being mounted into a gaming table surface; [Page 30, lines 20-31]
- a card receiver for accepting a group of cards to be shuffled; [Page 8, lines 20-21 and Figures 1 and 2]
- a randomizing system for randomizing the order of an initial set of playing cards; [Page 8, lines 22-24]
- a single collection surface for receiving all randomized cards; [Page 8, lines 24-28; Figures 1, 2 and 3]
- an elevator for raising the collection surface to an elevation proximate the gaming table surface; and [Page 8, lines 26-28]
- a microprocessor for controlling the operation of the card shuffler.; [Page 10, lines 15-20]

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

Claim 45 is an original claim, without amendment after filing

- 45. An automatic card shuffler, comprising: [Page 8, line 19]
 - a microprocessor; ; [Page 10, lines 15-20]
 - a card randomization mechanism; [Page 8, lines 22-24; original claim 45]
- a controller for controlling the card randomization mechanism by means of a usermanipulated remote control device; and [Page 53, lines 13-17; original claim 45]

a card moving sequence programmed in memory that enables the automatic card shuffler to move a set of cards from a card receiving position to a card collection area in the shuffler in a non-shuffling event, and to read the rank and suit of each card between the card receiving position and the card collection area in the non-shuffling event. [Page 16, lines 4-21; page 63, lines 10-30; page 27, lines 22-25]

Claim 46 was amended during prosecution, without objection

- 46. A device for forming a random set of playing cards comprising: [Page 8, line 19] a top surface and a bottom surface of said device; [Page 8, line 19-20] a single card receiving area for receiving an initial set of playing cards; [Page 8, lines 20-21 and Figures 1 and 2]
- a randomizing system for randomizing the order of an initial set of playing cards; [Page 8, lines 22-24]

a single collection surface in a card collection area for receiving randomized playing cards one at a time into the single card collection area to form a single randomized set of playing cards, the single collection surface receiving cards so that all playing cards from the initial set of playing cards are received below the top surface of the device; [Page 8, lines 24-25; Abstract of the Disclosure; Page 8, lines 26-28]

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

an image capture device that reads the rank and suit of each card after it has begun leaving the single card receiving area and before being received on the single card collection surface; and [Page 9, lines 7-11; original claim 31]

access into an open area comprising 2, 3 or 4 vertical supports for removal of the single randomized set of playing cards as a complete set. [Page 54, lines 11-16 and Figures 1 and 2]

Claim 54 is an original claim, without amendment after filing

- 54. A device for shuffling cards, comprising: [Page 8, line 19]
- a card receiving area for receiving an initial set of unshuffled cards; [Page 8, line 20-21]
- a card randomizing system for randomizing an order of the cards; [Page 8, lines 22-24]

a first sensor for sensing a position of cards between the card receiving area and the card randomizing system; a second sensor for sensing rank and/or suit or each card; and a microprocessor that activates the second sensor upon receiving a card present signal from the first sensor. [Page 56, line 23 – page 57, line 10; page 60, lines 15-18].

Claim 55 was amended during prosecution, without objection

- 55. A device for forming a random set of playing cards comprising: [Page 8, line 19] a top surface and a bottom surface of said device; [Page 8, line 19-21] a single card receiving area for receiving an initial set of playing cards; [Page 8, lines 21-24 and Figures 1 and 2]
- a randomizing system for randomizing the order of an initial set of playing cards; [Page 8, lines 22-24]
- a single collection surface in a card collection area for receiving randomized playing cards one at a time into the card collection area, the collection surface receiving

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

cards so that all cards are received above the single collection surface and below the top surface of the device; [Page 9, lines 16-22; Figures 1 and 2; Page 8, lines 24-25; Abstract of the Disclosure; Page 8, lines 26-28]

an elevator for raising the single collection surface to raise at least some randomized cards; and [Page 9, lines 16-22; Figures 1 and 2; Page 8, lines 24-25; Abstract of the Disclosure;]

a moveable cover over the elevator. [Page 30, lines 14-19]

Page 15 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Solely for the purposes of expediting this Appeal and complying with the requirements of 37 C.F.R. 1.192(c)(7), the following grouping of claims is presented. This grouping is not intended to constitute any admission on the record that claims within groups may or may not be independently asserted in subsequent litigation or that for any judicial determination other than this Appeal, the claims may or may not stand by themselves against any challenge to their validity or enforceability.

- Claims 1, 3-5, 7-8, 22-23, 29, 30-34, 37, 39, 41-43, 45-47 and 50-54 have been rejected under 35 USC 102(b) as being anticipated by US Patent No. 6,267,248 (Johnson).
- 2. Claims 6, 9-11, 24-28, 35-36, 38 and 40 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II).
- 3. Claims 12-13 and 48 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II) when further considered with US Patent No. 6,250,632 (Albrecht).
- 4. Claims 14-21 and 26-28 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II) when further considered with Purton et al. (International Patent Application Publication WO 00/51076).
- 5. Claims 2, 44 and 49 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II) and further in view of US Patent No. 5,240,140 (Huen).

BRIEF ON APPEAL Page 16 of 47

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

ARGUMENT

Docket No.: PA0863.ap.US

Preliminary Remarks

Appellants have carefully reviewed the rejections and find that both under the 35 U.S.C. 102(b) rejection and the 35 U.S.C. 103(a) rejections there are such significant absence of teachings of specific and substantive elements recited in the claims that continuance of these rejections, much less affirming of these rejections seems implausible.

For example, with regards to the rejection of claim 1 (and dependent claims 3-5 and 7-8) under 35 U.S.C. 102(b) as clearly shown in the table below, Johnson cannot anticipate this claim as there is no elevator raising the collection surface so that randomized cards are elevated at least to the top surface. Cards in Johnson always remain below the top surface, with at least shroud 25 existing between cards and the top of the device. That rejection should be summarily reversed. Additionally, this error is not corrected by teachings of the references cited in the rejections under 35 U.S.C. 103(a).

The identical situation exists with regard to claims 23, 31, 43 and 46 and all claims dependent therefrom, with respect to the same limitation.

The identical situation exists with regard to claim 30 and all claims dependent therefrom, with respect to the same limitation. Additionally, this claim (and any claims dependent therefrom) recites a moveable cover, which is absent from the teachings of the reference cited under 35 U.S.C. 102(b). As multiple substantive elements recited in the claims are absent from the teachings of Johnson (the sole reference used in this rejection), the rejections are clearly untenable.

In the Table, comparisons are provided for each independent claim rejected under 35 U.S.C. 102(b). Appellants specify and explain the absence of teachings by Johnson of specific limitations in the claims. This rejection is clearly shown to fail for egregious reasons of lacking teachings of every substantive element recited within the

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

claims. The limitations that are not taught are substantive and fundamental components or steps recited in the apparatus or method claims.

1. Claims 1, 3-5, 7-8, 22-23, 29, 30-34, 37, 39, 41-43, 45-47 and 50-54 have been rejected under 35 USC 102(b) as being anticipated by US Patent No. 6,267,248 (Johnson).

As is always the situation where a single reference has been cited under 35 USC 102(b) against claims of an application, it is essential to compare the actual limitations of the claims and the actual teachings of the references, beginning with the independent claims that have been rejected under this statutory provision.

CLAIM 1	JOHNSON DISCLOSURE	COMMENTS
A device for forming a		This function of a device is
random set of playing cards		disclosed by Johnson.
comprising:		
a top surface and a bottom		All physical apparatus have
surface of said device;		a nominative top and
		bottom.
a single card receiving area	"holding means 12"	
for receiving an initial set		
of playing cards;		
a randomizing system for		This function can be
randomizing the order of		provided by Johnson.
an initial set of playing		
cards;		
a collection surface in a	Johnson provides multiple	
card collection area for	collection surfaces (the	
receiving randomized	compartments 24) for	
playing cards one at a time	receiving cards one-at-time.	
into the card collection		
area, the collection surface		
receiving cards so that all		
cards are received below		

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

the top surface of the device; an image capture device that reads the rank and suit of each card before being received on the card collection surface;	Johnson discloses card reading before deposit into the carousel on column 5, lines 7-11.
an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and	There is no elevator raising the collection surface so that randomized cards are elevated at least to the top surface. Cards in Johnson always remain below the top surface, with at least shroud 25 existing between cards and the top of the device.
a moveable cover over the elevator.	As there is no elevator, there can be no moveable cover over the elevator.

As should be readily seen, Johnson does not anticipate the present limitation of claim 1 and all claims dependent therefrom. This rejection, with respect to claim 1 and all claims dependent therefrom is clearly in error. There are at least three distinct limitations in the claims that are not taught by Johnson:

- 1) An elevator;
- 2) Raising cards above the top surface by the elevator; and
- 3) A cover over the elevator.

Applicants are so certain of the lack of anticipation of the invention disclosed in claim 1, a new claim 55 was added during prosecution that eliminates the second distinguishing limitation, as the sufficiency of the differences provided by limitations 1) and 3) is sufficient to establish patentability over the art.

Serial Number: 10/623,2234
Filing Date: July 17, 2004
Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 23. This rejection must fail.

CLAIM 23	JOHNSON	COMMENTS
A device for forming a random set of playing cards comprising:	·	This function of a device is disclosed by Johnson.
a top surface and a bottom surface of said device;		All physical apparatus have a nominative top and bottom.
a receiving area for an initial set of playing cards	"holding means 12"	
a randomizing system for randomizing initial set of playing cards;		This function can be provided by Johnson.
a collection surface in a card collection area for receiving randomized playing cards;	Johnson provides multiple collection surfaces (the compartments 24) for receiving cards one-at-time.	
an elevator for raising the collection surface within the card collection area;		There is no elevator raising the collection surface so that randomized cards are elevated at least to the top surface. Cards in Johnson always remain below the top surface, with at least shroud 25 existing between cards and the top of the device.
at least one card supporting element within the card collection area that will support a predetermined number of cards within the card collection area; and	·	There is no card supporting element in any card collecting area of Johnson that supports a predetermined number of cards. This is the grasping feature or gripping arm feature discussed above.
an image capture system that can read at least the		Johnson discloses card reading before deposit into

Page 20 of 47 Docket No.: PA0863.ap.US

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

rank of each at least one card before it is inserted into a set of cards at a position below the predetermined number of cards.

the carousel on column 5, lines 7-11.

As should be readily seen, Johnson does not anticipate the present limitation of claim 23 and all claims dependent therefrom. This rejection, with respect to claim 23 and all claims dependent therefrom is clearly in error. There are at least one additional distinct limitation in the claims that is not taught by Johnson:

4) An elevator..

This rejection is clearly in error and must be withdrawn.

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 30. This rejection must fail.

CLAIM 30	JOHNSON	COMMENTS
A device for forming a		This function of a device is
random set of playing cards		disclosed by Johnson.
comprising:	•	
a top surface and a bottom		All physical apparatus have
surface of said device;		a nominative top and
		bottom.
a single card receiving area	"holding means 12"	
for receiving an initial set		
of playing cards;		
a randomizing system for		This function can be
randomizing the order of an		provided by Johnson.
initial set of playing cards;		
a collection surface in a	Johnson provides multiple	
card collection area for	collection surfaces (the	
receiving randomized	compartments 24) for	
playing cards one at a time	receiving cards one-at-time.	
into the card collection		
area, the collection surface		
receiving cards so that all		

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

cards are received below the top surface of the		
device;		
an image capture device that reads the rank and suit of each card after it has begun leaving the single card receiving area and before being received on	Johnson discloses card reading before deposit into the carousel on column 5, lines 7-11.	
an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and		There is no elevator raising the collection surface so that randomized cards are elevated at least to the top surface. Cards in Johnson always remain below the top surface, with at least shroud 25 existing between cards and the top of the device.
a moveable cover over the elevator.		As there is no elevator, there can be no moveable cover over the elevator.

It should be noted that there is absolutely no way that the disclosed receiving carousel of Johnson can be considered to meet the limitation of an elevator. The function and meaning of elevators and carousels within the playing card shuffling art are different. Carousels rotate individual compartments, while the elevator of the present technology raises and lowers entire groups of playing cards, without separate compartmentalization.

As is shown by the above comparison, there is no basis for maintaining the rejection of claim 30 under 35 USC 102(a) over Johnson.

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 31. This rejection must fail.

BRIEF ON APPEAL
Serial Number: 10/623,2234
Filing Date: July 17, 2004
Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

Docket No.: PA0863.ap.US

CLAIM 31	JOHNSON	COMMENTS
An automatic card shuffling		This function of a device is
device comprising:		disclosed by Johnson.
a microprocessor with		Johnson discloses a
memory for controlling the		microprocessor
operation of the device;		
an in-feed	"holding means 12"	
compartment for receiving		
cards to be randomized;		
a card moving mechanism		This function can be
for moving cards		provided by Johnson.,
individually from the in-		although cards are moved
feed compartment into a		into a multiplicity of
single card mixing		compartments, there is not
compartment that receives		"a single mixing
all cards during a		compartment."
randomization process;		
an image capture system	Johnson discloses card	
that can identify at least the	reading before deposit into	
rank of each card as it is	the carousel on column 5,	
moved towards, into or	lines 7-11.	
through the card mixing		
compartment, but before		:
removal from the device;		
a card mixing compartment		As Johnson can separate
that identifies a position for		cards by suit and rank, it can
each card in each set of		identify the location of
cards formed in the card		individual cards in separate
mixing compartment,		compartments, but not in a
		card mixing compartment.
a memory that		Johnson may temporarily
records at least the rank of		have a memory of where at
each card in each set of		least some individual cards
cards formed in the card		are located.
mixing compartment;		
wherein the card		Johnson shows a carousel,
mixing compartment		which is not a substantially
comprises a plurality of		vertical support, but has
substantially vertical		multiple radial

BRIEF ON APPEAL Serial Number: 10/623.2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

supports, an opening for the compartments. Johnson passage of cards from the does not teach gripping in-feed compartment, a elements or gripping arms moveable lower support capable of suspending cards above an opening. surface; at least one stationary gripping element, a gripping arm, a lower edge proximate the opening, the gripping arm capable of suspending cards above the opening; and an elevator for There is no elevator in Johnson. raising and lowering the moveable support surface.

This rejection is completely in error as shown by the word-by-word, clause by clause comparison of the limitations of the claims. The rejection must be withdrawn.

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 37. This rejection must fail.

CLAIM 37	JOHNSON	COMMENTS
A method of randomizing a group of cards, comprising the steps of: placing a group of cards to be randomized into a card in-feed tray;	Disclosed by Johnson.	
removing cards individually from the card in-feed tray and delivering the cards into	Cards are moved individually.	
a card collection area, the card collection area having a moveable lower surface, and a stationary opening for receiving cards from the in-		Johnson has multiple compartments, not a card collection area with a stationary opening. The openings for all carousel

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

feed tray; compartments move with the rotation and the openings are not stationary. Johnson rotates elevating the moveable compartments to angular lower surface to a randomly determined height; positions, the height being insignificant. grasping at least one edge Johnson never grips edges of cards. Even the rollers of a group of cards in the card collection area at a grip faces of cards. point just above the stationary opening; lowering the moveable Johnson never lowers the lower surface to create an moveable surfaces to opening in a stack of cards create an opening, and cannot create an opening formed on the lower surface, the opening located between cards. iust beneath a lowermost point where the cards are grasped; inserting a card removed As Johnson does not have from the in-feed tray into this "opening" (the the opening; stationary opening), this step cannot be performed. There is no elevation of a after randomizing collection of randomized all cards, elevating a collection of randomized cards, but rotation of cards; and those cards. Although Johnson reads reading at least the rank of cards, there is no opening each card after it is for them to be passed individually removed from through as recited in the the card in-feed tray and before it has been inserted claims. into the opening.

As can be seen, Johnson fails to show numerous limitations in the claims that are recited in the claim 37. The rejection is in error and must be withdrawn.

Filing Date: July 17, 2004
Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 43. This rejection must fail.

CLAIM 43	JOHNSON	COMMENTS
An automatic card shuffler	Johnson has a card	
comprising:	shuffler.	
a housing capable of being	There are no attributes	
mounted into a gaming table	enabling mounting into a	X
surface;	table. The direction of	
	card insertion and card	
	removal is inherently	
	negative to such mounting.	
a card receiver for accepting	"holding means 12"	
a group of cards to be	_	
shuffled;		
a randomizing	Johnson may randomize	
system for randomizing the	cards, and Johnson	
order of an initial set of	discloses card reading	
playing cards wherein an	before deposit into the	
image capture device	carousel on column 5, lines	
identifies at least the rank of	7-11.	
each card in the initial set of		
playing cards before each		·
eard-is-positioned on a		
collection surface for		
receiving randomized cards;		
the a single collection		Johnson has multiple
surface for receiving <u>all</u>		carousel compartments,
randomized cards;		not a single collection
		surface for all cards.
an elevator for raising the	·	There is no Johnson
collection surface to an		elevator.
elevation proximate the		
gaming table surface; and		<u> </u>
a microprocessor for		Johnson does have a
controlling the operation of		processor for control of the
the card shuffler.		device.

Johnson clearly fails to show deposition of all playing cards onto a single collection surface.

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 45. This rejection must fail.

CLAIM 45	JOHNSON	COMMENTS
An automatic card shuffler,		Johnson discloses a card
comprising:		shuffler.
a microprocessor;		Johnson has a
a card randomization		microprocessor and a card
mechanism;		randomization process.
a controller for controlling		Johnson does not disclose
the card randomization		a remote control device on
mechanism by means of a		a playing card shuffler.
user-manipulated remote		
control device; and		
a card moving sequence		Johnson teaches multiple
programmed in memory that		compartments in a
enables the automatic card		carousel, not a card
shuffler to move a set of		collection area.
cards from a card receiving		
position to a card collection		
area in the shuffler in a non-		
shuffling event,		
and to read the rank and suit		Johnson does disclose card
of each card between the		reading before movement
card receiving position and		into the carousel.
the card collection area in		
the non-shuffling event.		

This rejection is in error. Johnson clearly does not disclose a remote control device on a playing card shuffler.

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 46. This rejection must fail.

CLAIM 46	JOHNSON	COMMENTS
A device for forming a	Generally disclosed by	

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

single randomized set of playing cards as a complete

set.

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

random set of playing cards Johnson. comprising: a top surface and a bottom Inherent in any apparatus. surface of said device; "...holding means 12..." a single card receiving area for receiving an initial set of playing cards; Johnson shuffles cards. a randomizing system for randomizing the order of an initial set of playing cards; a single collection surface in Johnson does not have a a card collection area for single collection surface receiving randomized for collecting cards but has a carousel with playing cards one at a time multiple compartments into the single card collection area to form a that receive cards. single randomized set of playing cards, the single collection surface receiving cards so that all playing cards from the initial set of playing cards are received below the top surface of the device Johnson has a playing card an image capture device that reads the rank and suit of reading sensor. each card after it has begun leaving the single card receiving area and before being received on the single card collection surface; and The delivery tray eventually access into an open area comprising 2, 3 or 4 vertical receives all randomized supports for removal of the playing cards.

itle: CARD SHUFFLER WITH CARD RANK AND VALUE READING

Docket No.: PA0863.ap.US

Johnson does not disclose the use of a single surface for receiving randomized cards. Johnson cannot perform this step or provide that structure. Johnson does not show the 2, 3 or 4 vertical supports, but only shows a ledge with a single angled support for catching the cards dropped into the delivery tray. The vertical supports, as opposed to the angled support of Johnson perform different functions. Johnson actually catches cards as they slide into the delivery tray. The 2, 3 or 4 vertical supports prevent the cards from sliding in various directions as the cards are moved by the single card collection surface. The present claimed technology would avoid the use of a sloped surface as shown by Johnson as that would allow too much slippage of the cards. Johnson can tolerate such slippage because the angled elevated portion of the delivery tray does not move and is a passive stop for cards dropped into the delivery tray. This claim is not anticipated by Johnson.

The following claim chart will illustrate why the rejection under 35 USC 102(e) is in error with respect to claim 54. This rejection must fail.

CLAIM 54	JOHNSON	COMMENTS
A device for shuffling cards, comprising:	See above.	
a card receiving area for receiving an initial set of unshuffled cards;	See above.	
a card randomizing system for randomizing an order of the cards;	See above	
a first sensor for sensing a position of cards between the card receiving area and the card randomizing system;	See above.	
a second sensor for sensing rank and/or suit or each card; and a microprocessor that activates the second sensor upon receiving a card	Johnson discloses only one sensor at position 15. There is no activation function in the sensor as recited in this claim.	

Page 29 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

present signal from the first sensor.

There is no second sensor shown.

Each of these independent claims has been shown to be novel over the disclosure of Johnson. All dependent claims are also inherently and legally novel over Johnson. All claims in this rejection have been shown to be novel and the rejection must be withdrawn.

2. Claims 6, 9-11, 24-28, 35-36, 38 and 40 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II).

Each of these claims is dependent claims from the claims rejected in paragraph 7 of the Final Rejection. The additional Johnson II reference does not teach the limitations that were the basis for establishing Novelty under 35 USC 102(b) and there is no basis for asserting those limitations to be obvious from the individual or combined teachings of these references. Johnson II also fails to show card supporting elements in the card collection area, elevator covers, moving elevators, and the like. The rejection must fail for at least that reason, even without conceding that Johnson II does or does not teach the limitations for which it has been cited.

Although Johnson does show an elevator and grippers, the elevators do not raise cards up to or above the top of the device as recited in claim 1. Rather, cards are removed from the bottom of the stack on the elevator and transmitted to the delivery device 43. Johnson et al. ('085) also shows a sensor with a trigger function, but again does not meet the structural performance of elevating cards to or above the surface (the top) for removal by a dealer.

The limitation in claim 1 is "...an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and...," and this limitation is not shown by Johnson II and because of the bottom pick-off

CARD SHUFFLER WITH CARD RANK AND VALUE READING

Docket No.: PA0863.ap.US

delivery by Johnson II, this feature could not be added without completely destroying the underlying operation of Johnson II. The rejection is in error.

3. Claims 12-13 and 48 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II) when further considered with US Patent No. 6,250,632 (Albrecht).

Each of these claims is dependent claims from the claims rejected in paragraph 7 or paragraph 8. The additional Albrecht reference does not teach the limitations that were the basis for establishing Novelty under 35 USC 102(b) and unobviousness under 35 USC 103(a) in paragraph 8 and there is no basis for asserting those limitations to be obvious from the individual or combined teachings of these references. The rejection must fail for at least that reason, even without conceding that Albrecht does or does not teach the limitations for which it has been cited.

Claims 12-13, for example, address fine control of the collection surface on the elevator position. The elevator shaft of Johnson II is stationary during shuffling, and the grippers instead move up and down adjacent the shaft. There is no teaching or suggestion of this limitation from the combination of references.

4. Claims 14-21 and 26-28 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II) when further considered with Purton et al. (International Patent Application Publication WO 00/51076).

Each of these claims is a dependent claim from the claims rejected in paragraph 7 and paragraph 8. The additional Purton reference does not teach the limitations that were the basis for establishing Novelty under 35 USC 102(b) and unobviousness under 35 USC 103(a) and there is no basis for asserting those limitations to be obvious from the individual or combined teachings of these references. The rejection must fail for at least

Page 31 of 47 Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

that reason, even without conceding that Purton does or does not teach the limitations for which it has been cited. Again, the elevator shaft of Johnson II is stationary and the grippers move up and down adjacent the shaft. Johnson does not raise cards to the top of the device.

5. Claims 2, 44 and 49 have been rejected under 35 USC 103(a) as unpatentable over Johnson in view of US Patent No. 5,683,085 (Johnson II).

Each of these claims is dependent claims from the claims rejected in paragraph 7. The additional Johnson II reference does not teach the limitations that were the basis for establishing Novelty under 35 USC 102(b) and there is no basis for asserting those limitations to be obvious from the individual or combined teachings of these references. The rejection must fail for at least that reason, even without conceding that Johnson II does or does not teach the limitations for which it has been cited.

Johnson II, as repeatedly noted above, does not teach elevating a complete set of cards as described in claims 2 and 44. Cards are moved from the bottom of the stack, not raised to the top surface for manual removal. **There is no moveable cover.** Cards are delivered from the bottom of the stack to a delivery shoe structure. Johnson II does not overcome the deficiencies of Johnson.

BRIEF ON APPEAL Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

CONCLUSION

All rejections of record have been shown in detail to be in error. The rejection should be reversed and all claims should be indicated as allowable.

Applicants believe the claims are in condition for allowance and request reconsideration of the application and allowance of the claims. The Examiner is invited to telephone the below-signed attorney at 952-832-9090 to discuss any questions that may remain with respect to the present application.

Respectfully submitted,
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Name: Mark A. Litman

BRIEF ON APPEAL Serial Number: 10/623,2234 Filing Date: July 17, 2004

CARD SHUFFLER WITH CARD RANK AND VALUE READING

Page 33 of 47

Docket No.: PA0863.ap.US

CLAIMS APPENDIX

1. (ON APPEAL) A device for forming a random set of playing cards comprising:

a top surface and a bottom surface of said device;

a single card receiving area for receiving an initial set of playing cards;

a randomizing system for randomizing the order of an initial set of playing cards;

a collection surface in a card collection area for receiving randomized playing

cards one at a time into the card collection area, the collection surface receiving cards so

that all cards are received below the top surface of the device;

an image capture device that reads the rank and suit of each card before being

received on the card collection surface;

an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and

a moveable cover over the elevator.

- 2. (ON APPEAL) The device of claim 1 wherein the elevator raises all randomized cards above the top surface of the device and the moveable cover is automatically raised to allow the randomized cards to rise above the top surface of the device.
- 3. (ON APPEAL) The device of claim 1 wherein at least one pick-off roller removes cards one at a time from the card receiving area and moves cards one at a time towards the randomizing system and the image capture device can read a card only after it has been moved by the at least one pick-off roller.
- 4. (ON APPEAL) The device of claim 3 wherein at least one pair of rollers receives each card from the at least one pick-off roller before the image capture device can read each card.

Page 34 of 47 Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234

Filing Date: July 17, 2004

CARD SHUFFLER WITH CARD RANK AND VALUE READING

5. (ON APPEAL) The device of claim 4 wherein a microprocessor controls movement of

the pick-off roller and the at least one pair of rollers.

6. (ON APPEAL) The device of claim 4 wherein when a first card being moved by the

pick-off roller is being moved by the at least one pair of rollers, movement of the pick-off

roller is altered so that no card other than the first card is moved by either the pick-off

roller or the at least one pair of rollers.

7. (ON APPEAL) The device of claim 1 wherein the randomization system moves one

card at a time into an area overlying the collection surface after the one card has been

read for suit and rank.

8. (ON APPEAL) The device of claim 1 wherein one card at a time is positioned into a

randomized set of playing cards over the collection surface.

9. (ON APPEAL) The device of claim 7 wherein the collection area is bordered on two

opposed sides by two movable card gripping elements.

10. (ON APPEAL) The device of claim 9 wherein an insertion point to the card

collection area is located below a bottom edge of the two movable card gripping

elements.

11. (ON APPEAL) The device of claim 9 wherein the card collection surface is vertically

positionable within the card collection area.

BRIEF ON APPEAL Page 35 of 47

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

12. (ON APPEAL) The device of claim 11 wherein the card collection surface is moved by a motivator that is able to move incremental vertical distances that are less than the thickness of a playing card.

Docket No.: PA0863.ap.US

- 13. (ON APPEAL) The device of claim 12 wherein the motor is a stepper motor or an analog motor.
- 14. (ON APPEAL) The device of claim 1 wherein a sensor is present along a line of movement of cards in the device within the single card receiving area or adjacent the single card receiving area and after the image capture device, the sensor indicating a trigger position of a moving card to initiate a timed capture of an image by the image capture device.
- 15. (ON APPEAL) The device of claim 14 wherein at least one microprocessor is present in the device and the at least one microprocessor controls vertical movement of the card collection surface and camera triggering.
- 16. (ON APPEAL) The device of claim 14 wherein at least a second sensor identifies the position of the card collection surface so as to place a top card in the collection area at a position that is level with or above the bottom of at least one card gripping element that is movable from at least one side of the collection area towards playing cards within the card collection area.
- 17. (ON APPEAL) The device of claim 15 wherein the microprocessor is programmed to determine a distance that the card collection surface must be vertically moved to position at least one specific card at a bottom edge of the at least one card gripping

Page 36 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234

Filing Date: July 17, 2004

CARD SHUFFLER WITH CARD RANK AND VALUE READING

element when the card gripping element moves to contact cards within the card collection area.

18. (ON APPEAL) The device of claim 16 wherein the at least one card gripping element comprises at least two gripping elements, at least one of which moves from a side of the collection area towards playing cards within the card collection area.

- 19. (ON APPEAL) The device of claim 15 wherein the microprocessor directs movement of an individual card into a gap in cards in the collection area between two segments of cards created by support of cards by at least one card gripping element.
- 20. (ON APPEAL) The device of claim 17 wherein the microprocessor is programmed to lower the card collection surface within the card collection area after the at least one element has contacted and supported cards within the card collection area, creating two segments of cards and a gap between the segments.
- 21. (ON APPEAL) The device of claim 20 wherein the microprocessor directs movement of an individual card into the gap, between the two segments of cards.
- 22. (ON APPEAL) The device of claim 1 wherein a microprocessor is controllably connected to the device, the microprocessor directing movement of playing card moving elements within the device, the microprocessor randomly assigning potential positions for each card within the initial set of playing cards, and then directing the device to arrange the initial set of playing cards into those randomly assigned potential positions to form a randomized final set of playing cards with each card in the randomized set having been read for at least rank.

Page 37 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

23. (ON APPEAL) A device for forming a random set of playing cards comprising:

a top surface and a bottom surface of said device;

a receiving area for an initial set of playing cards;

a randomizing system for randomizing initial set of playing cards;

a collection surface in a card collection area for receiving randomized playing

cards;

an elevator for raising the collection surface within the card collection area;

at least one card supporting element within the card collection area that will

support a predetermined number of cards within the card collection area; and

an image capture system that can read at least the rank of each at least one card

before it is inserted into a set of cards at a position below the predetermined number of

cards.

24. (ON APPEAL) The device of claim 23 wherein an at least one card supporting

element comprises an element on at least one side of the card collection area that can

move inwardly within the card collection area to contact and support the predetermined

number of cards within the card collection area.

25. (ON APPEAL) The device of claim 24 wherein the at least one card supporting

element comprises at least two opposed card supporting elements that move inwardly

within the card collection area to contact and support the predetermined number of cards

within the card collection area.

26. (ON APPEAL) The device of claim 23 wherein a microprocessor is

communicatively connected to the device and the microprocessor is programmed to

determine a distance that the card collection surface must be vertically moved to position

at least one specific card position other than the top card at a bottom edge of the at least

Page 38 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

one card supporting element when the card supporting element moves to contact cards

within the card collection area.

27. (ON APPEAL) The device of claim 24 wherein a microprocessor communicatively

connected to the device is programmed to lower the card collection surface within the

card collection area after the at least one card supporting element has contacted and

supported cards within the card collection area, creating two segments of cards and a gap

between the segments.

28. (ON APPEAL) The device of claim 27 wherein the microprocessor directs

movement of an individual card into the gap between the two segments of cards.

29. (ON APPEAL) The device of claim 23 wherein a memory records the reading of

each at least one card inserted into a set of cards and the position of each card within the

final set of cards is identified to create an index of all cards in a final set of cards.

30. (ON APPEAL) A device for forming a random set of playing cards comprising:

a top surface and a bottom surface of said device;

a single card receiving area for receiving an initial set of playing cards;

a randomizing system for randomizing the order of an initial set of playing cards;

a collection surface in a card collection area for receiving randomized playing

cards one at a time into the card collection area, the collection surface receiving cards so

that all cards are received below the top surface of the device;

an image capture device that reads the rank and suit of each card after it has begun

leaving the single card receiving area and before being received on the card collection

surface;

Page 39 of 47
Docket No.: PA0863.ap.US

BRIEF ON APPEAL Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

O VALUE READING

an elevator for raising the collection surface so that at least some randomized cards are elevated at least to the top surface of the device; and a moveable cover over the elevator.

31. (ON APPEAL) An automatic card shuffling device comprising:

a microprocessor with memory for controlling the operation of the device; an in-feed compartment for receiving cards to be randomized;

a card moving mechanism for moving cards individually from the in-feed compartment into a single card mixing compartment that receives all cards during a randomization process;

an image capture system that can identify at least the rank of each card as it is moved towards, into or through the card mixing compartment, but before removal from the device;

a card mixing compartment that identifies a position for each card in each set of cards formed in the card mixing compartment,

a memory that records at least the rank of each card in each set of cards formed in the card mixing compartment; wherein the card mixing compartment comprises a plurality of substantially vertical supports, an opening for the passage of cards from the in-feed compartment, a moveable lower support surface; at least one stationary gripping element, a gripping arm, a lower edge proximate the opening, the gripping arm capable of suspending cards above the opening; and

an elevator for raising and lowering the moveable support surface.

32. (ON APPEAL) The device of claim 31 wherein the image capture system identifies at least suit and rank for each card as it is moved towards, into or through the card mixing department, but before removal from the device.

Page 40 of 47 Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234

Filing Date: July 17, 2004

itle: CARD SHUFFLER WITH CARD RANK AND VALUE READING

33. (ON APPEAL) The device of claim 31 wherein a final set of cards comprising all cards and at least fifty-two cards in the device are recorded in memory informationally connected to the device with respect to position within the final set and at least the rank of each card in the final set of cards.

- 34. (ON APPEAL) The device of claim 33 wherein suit and rank of each card in the final set of cards is recorded.
- 35. (ON APPEAL) The device of claim 34 wherein a position of the elevator is randomly selectable and the support surface is movable to the selected position, and after the gripping element grasps at least one side of the cards, the elevator lowers, creating a space beneath the gripping element, wherein a card is moved from the in-feed compartment through the opening and into the space, thereby randomizing the cards.
- 36. (ON APPEAL) The device of claim 35 wherein two stationary gripping elements are provided to grip opposite sides of a set of cards in the mixing compartment.
- 37. (ON APPEAL) A method of randomizing a group of cards, comprising the steps of: placing a group of cards to be randomized into a card in-feed tray;

removing cards individually from the card in-feed tray and delivering the cards into a card collection area, the card collection area having a moveable lower surface, and a stationary opening for receiving cards from the in-feed tray;

elevating the moveable lower surface to a randomly determined height; grasping at least one edge of a group of cards in the card collection area at a point

just above the stationary opening;

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

lowering the moveable lower surface to create an opening in a stack of cards formed on the lower surface, the opening located just beneath a lowermost point where

the cards are grasped;

inserting a card removed from the in-feed tray into the opening;
after randomizing all cards, elevating a collection of randomized cards; and
reading at least the rank of each card after it is individually removed from the card
in-feed tray and before it has been inserted into the opening.

- 38. (ON APPEAL) The method of claim 37 wherein after a card has been inserted, and when a presence of at least one additional card in the card in-feed tray is sensed, the elevator moves to another randomly determined height, creating another opening.
- 39. (ON APPEAL) A method of arranging a group of cards into a desired order in a computer controlled automatic card shuffler, the card shuffler comprising an in-feed tray, a feed mechanism, a card arranging area, a retaining device for suspending cards in the card arranging area, a lower support surface in the card arranging area and an elevator for raising and lowering the lower support surface, the method comprising:
 - a) assigning each card in the in-feed tray a final order; and
- b) feeding each card individually into the card arranging area after at least the rank of each card has been mechanically read to form a final set of cards.
- 40. (ON APPEAL) The method of claim 39 wherein the lower support surface is lowered beneath an elevation of the card feed mechanism when the computer instructs that the card being fed is to be placed on top of the stack,
- a) suspending all cards in the card arranging area by means of the retaining device when the computer instructs that the card being fed is to be placed on the bottom of the stack, and

Page 42 of 47

Docket No.: PA0863.ap.US

Serial Number: 10/623,2234 Filing Date: July 17, 2004

BRIEF ON APPEAL

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

b) instructing the elevator to move, causing the lower support surface to adjust to a preselected elevation, retaining a subgroup of cards above a feed elevation and lowering the lower surface, creating an opening, and placing a card between the subgroup of suspended cards and the remaining cards supported by the lower support surface.

- 41. (ON APPEAL) The method of claim 39 wherein the final order is random and each individual card in the final set of cards is identified by at least rank and position within the final set of cards.
- 42. (ON APPEAL) The method of claim 41 wherein each individual card in the final set of cards is identified by at least rank, suit and position within the final set of cards.
- 43. (ON APPEAL) An automatic card shuffler comprising:
 - a housing capable of being mounted into a gaming table surface;
 - a card receiver for accepting a group of cards to be shuffled;
 - a randomizing system for randomizing the order of an initial set of playing cards;

a single collection surface for receiving all randomized cards;

an elevator for raising the collection surface to an elevation proximate the gaming table surface; and

a microprocessor for controlling the operation of the card shuffler.

- 44. (ON APPEAL) The automatic card shuffler of claim 43 further comprising an automatically movable cover that is closed at least part of the time over at least one of the card receiver and collection surface.
- 45. (ON APPEAL) An automatic card shuffler, comprising:

Page 43 of 47 Docket No.: PA0863.ap.US

BRIEF ON APPEAL Serial Number: 10/623,2234

Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

a microprocessor;

a card randomization mechanism;

a controller for controlling the card randomization mechanism by means of a usermanipulated remote control device; and

a card moving sequence programmed in memory that enables the automatic card shuffler to move a set of cards from a card receiving position to a card collection area in the shuffler in a non-shuffling event, and to read the rank and suit of each card between the card receiving position and the card collection area in the non-shuffling event.

46. (ON APPEAL) A device for forming a random set of playing cards comprising:

a top surface and a bottom surface of said device;

a single card receiving area for receiving an initial set of playing cards;

a randomizing system for randomizing the order of an initial set of playing cards;

a single collection surface in a card collection area for receiving randomized playing cards one at a time into the single card collection area to form a single randomized set of playing cards, the single collection surface receiving cards so that all playing cards from the initial set of playing cards are received below the top surface of the device:

an image capture device that reads the rank and suit of each card after it has begun leaving the single card receiving area and before being received on the single card collection surface; and

access into an open area comprising 2, 3 or 4 vertical supports for removal of the single randomized set of playing cards as a complete set.

47. (ON APPEAL) The device of claim 46 wherein the playing card collection surface comprises a surface that is moved by an elevator.

BRIEF ON APPEAL Page 44 of 47

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

48. (ON APPEAL) The device of claim 47 wherein an elevator for raising the playing card collection surface so that at least some randomized cards are elevated above to the top surface of the device for removal as the access.

Docket No.: PA0863.ap.US

- 49. (ON APPEAL) The device of claim 48 wherein there is an automatically moveable cover over the elevator as part of the access.
- 50. (ON APPEAL) The device of claim 46 wherein multiple playing cards are present only in the single card receiving area and the single card collection area
- 51. (ON APPEAL) The device of claim 1 wherein a program is embedded in memory in the device that can be activated to move cards from the card receiving area to the card collection area without randomization, the rank and suit of each card being read between the card receiving area and the card collection area to verify the content of a complete set of cards placed into the card receiving area.
- 52. (ON APPEAL) The automatic card shuffler of claim 43 wherein a program is embedded in memory in the device that can be activated to move cards from the card receiving area to the card collection area without randomization, the rank and suit of each card being read between the card receiving area and the card collection area to verify the content of a complete set of cards placed into the card receiving area.
- 53. (ON APPEAL) The device of claim 46 wherein a program is embedded in memory in the device that can be activated to move cards from the card receiving area to the card collection area without randomization, the rank and suit of each card being read between the card receiving area and the card collection area to verify the content of a complete set of cards placed into the card receiving area.

Page 45 of 47 Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

54. (ON APPEAL) A device for shuffling cards, comprising:

a card receiving area for receiving an initial set of unshuffled cards;

a card randomizing system for randomizing an order of the cards;

a first sensor for sensing a position of cards between the card receiving area and the card randomizing system; a second sensor for sensing rank and/or suit or each card; and a microprocessor that activates the second sensor upon receiving a card present signal from the first sensor.

55. (ON APPEAL) A device for forming a random set of playing cards comprising:

a top surface and a bottom surface of said device;

a single card receiving area for receiving an initial set of playing cards;

a randomizing system for randomizing the order of an initial set of playing cards;

a single collection surface in a card collection area for receiving randomized

playing cards one at a time into the card collection area, the collection surface receiving cards so that all cards are received above the single collection surface and below the top

surface of the device;

an elevator for raising the single collection surface to raise at least some randomized cards; and

a moveable cover over the elevator.

Page 46 of 47

Docket No.: PA0863.ap.US

BRIEF ON APPEAL

Serial Number: 10/623,2234 Filing Date: July 17, 2004

Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

EVIDENCE APPENDIX

Neither Appellants nor their counsel in this Appeal are aware of any secondary or supplemental evidence submitted during the prosecution of this Application that must be considered by the Board of patent Appeals in this decision.

Page 47 of 47 BRIEF ON APPEAL

Serial Number: 10/623,2234

Filing Date: July 17, 2004
Title: CARD SHUFFLER WITH CARD RANK AND VALUE READING

RELATED PROCEEDINGS APPENDIX

Neither Appellants nor their counsel on this Appeal are aware of any proceedings before the US Patent and Trademark Office or any US Judicial or Quasi-Judicial authority that relates directly towards any issues in this Appeal.

Docket No.: PA0863.ap.US